

PURPOSES OF THE PROCESSING

Financial Security principally covers the following purposes:

Purposes	Legal basis for processing	Data obtained from Third Parties ¹	TRANSFER OF DATA TO A COUNTRY THAT IS NOT A MEMBER OF THE EUROPEAN UNION (*)
Due diligence, onboarding and KYC review of prospective clients, clients and associated third parties	LEGAL OBLIGATION	YES	United States Monaco
Identification and verification of the client's identity via automatic screening of the client database at the start of the relationship and then throughout the business relationship			
Obtaining supporting documents to confirm the tax compliance of resident and non-resident clients.			
Internal reporting			
Reporting suspicious transactions			

() Excluding transfers to Switzerland referred in these notes relating to the subcontracting of certain processing activities, including computerised processing.*

¹ Information about third parties is available on request from the management company.